

Compliance is a complex and ever-changing area within the banking industry.

This fundamentals school is designed to provide individuals with knowledge of the federal regulations and gain an understanding of the requirements for each area.

The sessions will be separated into two days and with a concentration on lending-related areas as well as deposit/operations and the Bank Secrecy Act.

Whether you are a new compliance officer or needing a comprehensive overview of the compliance areas, this will be an informative school with the ability to ask the experts questions in a live environment.

Continuing Education:

This event has been applied for American Bankers Association (ABA) Professional Certifications credits.

ABOUT THE INSTRUCTOR



Shaun Harms

Forvis

Shaun Harms is currently the Partner for FORVIS in the Financial Services Division specializing in Consumer Compliance and BSA. He

is responsible for consulting and audit services for community and regional banks and has over 17 years of consulting experience with financial institutions.

Prior to joining FORVIS, he was the Founder and Executive Director of Bankers Assurance, LLC that was one of the largest compliance consulting companies in Arkansas representing over 80 banks in Arkansas and the surrounding states. He has extensive knowledge in consumer compliance and BSA. He has performed numerous audits in both consumer compliance and BSA. In addition, Shaun has conducted numerous training sessions for financial institutions. Shaun graduated from the University of Central Arkansas in 2001 with a bachelor's degree in finance. He also graduated from Arkansas State University in 2003 with a master's degree in business administration, and the Barret School of Banking in 2007 (summa cum laude).

In addition, Shaun completed the ABA National Compliance School in 2006, and holds the ICB Certified Regulatory Compliance Manager (CRCM) designation. He was selected as one of Arkansas Business 40 under 40 for 2014.



Arkansas Bankers Association
1220 W. Third Street
Little Rock, AR 72201

(501) 376-3741 | www.arkbankers.org

Arkansas Bankers Association
presents

2024 Compliance School

www.arkbankers.org

REGISTRATION & PRICING

ABA MEMBERS

Lending Compliance (Day One): \$450

Deposit Compliance & BSA (Day Two): \$450

Full School Registration: \$750

NON-MEMBERS

Lending Compliance (Day One): \$900

Deposit Compliance & BSA (Day Two): \$900

Full School Registration: \$1,500

ACCOMMODATIONS OR GROUP RATES

This event will be held at the Arkansas Bankers Association. Should you need a hotel room reservation, a Local Negotiated Rate (LNR) has been reserved for your convenience at the following hotels. The LNR is based on hotel availability, and you are responsible for all hotel room charges.

Hilton Garden Inn Downtown | (501) 244-0044
Group Rate: \$148 | Corporate Account Code: 3197385

Downtown Marriott Little Rock | (501) 906-4000
Group Rate: \$169 | Group Code: A5698

CANCELLATION POLICY

Full registration fees will be refunded if a cancellation is received before April 9. No refunds will be given for cancellations made after April 9. All cancellations must be submitted in written format prior to the event.

TOPICS of DISCUSSION

April 23-24

9:00 A.M. – 4:00 P.M.

DAY ONE:

Lending Compliance

- Truth in Lending Act/Regulation Z
- Real Estate Settlement Procedures Act (RESPA)
- Regulation B/Equal Credit Opportunity Act
- Fair Lending
- Fair Credit Reporting Act
- Flood
- SAFE Act
- Consumer Privacy
- Servicemembers Civil Relief Act (SCRA)
- Military Lending Act (MLA)
- Unfair and Deceptive Practices (UDAP)
- Sales of Credit Insurance
- Home Mortgage Disclosure Act (HMDA)
- Community Reinvestment Act (CRA)



DAY TWO:

Deposit Compliance & BSA

- Truth in Savings Act/Reg DD
- Regulation E
- Regulation CC
- Garnishments
- Advertising
- Unfair and Deceptive Acts and Practices (UDAP)
- Compliance Management System (CMS)
- Elements of a BSA Program
- Customer Identification Program (CIP)
- Customer Due Diligence (CDD)
- Beneficial Ownership
- Currency Transaction Reports (CTRs)/Exemptions
- Suspicious Activity Reporting and Monitoring
- Higher Risk Customers
- Recordkeeping
- OFAC
- Information Sharing
- BSA Alphabet



CONTACT US

Professional Development Department
(501) 376-3741
kami.coleman@arkbankers.org



WHO SHOULD ATTEND

Compliance Officers, Internal Audit staff, and any employee who assists with Compliance Management, Monitoring, Audit, Review or Training.



WHERE

Arkansas Bankers Association
1220 W. Third Street
Little Rock, AR 72201



WHEN

April 23 -24, 2024
9:00 AM - 4:00 PM